

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Lek Pharmaceuticals d.d.Application No./Patent No.: 10/590,889Filed/Issue Date: June 4, 2007Titled: Pharmaceutical FormulationLek Pharmaceuticals d.d. , a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022401, Frame 0294, or for which a copy therefore is attached.

OR

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- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Monika Le-Good

Printed or Typed Name

Date

24 March 2009

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application No./Patent No.: 10/590,889

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Titled: Pharmaceutical Formulation

Lek Pharmaceuticals d.d., a Corporation

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Gillian McCann

Printed or Typed Name

24 03 09  
Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MARCH 16, 2009

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RECORDATION DATE: 03/16/2009

REEL/FRAME: 022401/0294  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 33668-US-PCT - 64134.US

ASSIGNOR:  
SVETE, PETER

DOC DATE: 08/16/2006

ASSIGNOR:  
GRAHEK, ROK

DOC DATE: 08/17/2006

ASSIGNOR:  
HUMAR, VLASTA

DOC DATE: 08/17/2006

ASSIGNOR:  
HUSU-KOVACEVIC, BREDA

DOC DATE: 08/21/2006

ASSIGNOR:  
JERALA-STRUKELJ, ZDENKA

DOC DATE: 08/16/2006

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022401/0294 PAGE 2

ASSIGNEE:

LEK PHARMACEUTICALS D.D.  
VEROVSKOVA 57  
1526 LJUBLJANA, SLOVENIA

SERIAL NUMBER: 10590889

PATENT NUMBER:

TITLE: PHARMACEUTICAL FORMULATION

FILING DATE: 06/04/2007

ISSUE DATE:

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PUBLIC RECORDS DIVISION

MARK S. GRAHAM COMPANY:P.O. BOX 1871

PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

03/16/2009  
500807999

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Peter Svete</td> <td>08/16/2006</td> </tr> <tr> <td>Rok Grahek</td> <td>08/17/2006</td> </tr> <tr> <td>Vlasta Humar</td> <td>08/17/2006</td> </tr> <tr> <td>Breda Husu-Kovacevic</td> <td>08/21/2006</td> </tr> <tr> <td>Zdenka Jerala-Strukelj</td> <td>08/16/2006</td> </tr> </tbody> </table>		Name	Execution Date	Peter Svete	08/16/2006	Rok Grahek	08/17/2006	Vlasta Humar	08/17/2006	Breda Husu-Kovacevic	08/21/2006	Zdenka Jerala-Strukelj	08/16/2006
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RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>LEK Pharmaceuticals d.d.</td> </tr> <tr> <td>Street Address:</td> <td>Verovskova 57</td> </tr> <tr> <td>City:</td> <td>1526 Ljubljana</td> </tr> <tr> <td>State/Country:</td> <td>SLOVENIA</td> </tr> </table>		Name:	LEK Pharmaceuticals d.d.	Street Address:	Verovskova 57	City:	1526 Ljubljana	State/Country:	SLOVENIA				
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PROPERTY NUMBERS Total: 1													
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Application Number:	10590889												
CORRESPONDENCE DATA													
<p>Fax Number: (865)523-4478</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 865-546-4305</p> <p>Email: mgraham@lng-patent.com</p> <p>Correspondent Name: Mark S. Graham</p> <p>Address Line 1: P.O. Box 1871</p> <p>Address Line 4: Knoxville, TENNESSEE 37901</p>													
ATTORNEY DOCKET NUMBER:	33668-US-PCT - 64134.US												
NAME OF SUBMITTER:	Mark S. Graham												
<p>Total Attachments: 1</p> <p>source=64134us-touspto-20090316-ExecutedAssignment#page1.tif</p>													

CH \$40.00 10590889

ASSIGNMENT

I / We Peter SVETE, Gradisnikova 14, 1353 Borovnica, Slovenia, citizen of Slovenia  
Rok GRAHEK, Zoisova 17, 4000 Kranj, Slovenia, citizen of Slovenia  
Vlasta HUMAR, Godic 63, 1242 Stahovica, Slovenia, citizen of Slovenia  
Breda HUSU-KOVACEVIC, Na peci 27, 1000 Ljubljana, Slovenia, citizen of Slovenia  
Zdenka JERALA-STRUKE LJ, Podreca 107, 4211 Mavcice, Slovenia, citizen of Slovenia

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **LEK Pharmaceuticals d.d., a Company organized under the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**PHARMACEUTICAL FORMULATIONS**

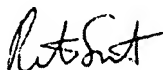
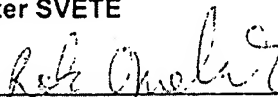
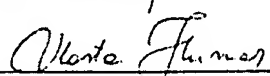
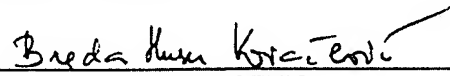

invented by me/us and described in the international application

No. PCT/EP2005/002108 filed 28.02.2005

and all United States Letters Patent which may be granted therefore, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **LEK Pharmaceuticals d.d.**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **LEK Pharmaceuticals d.d.**

Signed on

 _____ Peter SVETE	<u>16. 8. 2006</u> _____ 16. 8. 2006
 _____ Rok GRAHEK	<u>17. 8. 2006</u> _____ 17. 8. 2006
 _____ Vlasta HUMAR	<u>17. 08. 2006</u> _____ 17. 08. 2006
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ADDRESS:**

Lek (Slovenia) - LUEDEKA, NEELY & GRAHAM, P.C.  
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Knoxville, TN 37901

**FAX:**  
**E-MAIL:** MGRAHAM@LNG-PATENT.COM

**PHONE:** 865.5464305

Date Mailed: 10/20/2008

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